

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : David Ellis et al.

Appln. No.: 09/977,050

Filed : October 12, 2001

For : INTEGRATED RISK MANAGEMENT  
SYSTEM AND METHOD

Docket No.: S85.12-0001

Group Art Unit: 3623

Examiner: Catherine M. Tarae

**SECOND PRELIMINARY AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS  
BEING SENT BY U.S. MAIL, FIRST CLASS,  
TO THE COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-1450,  
THIS \_\_\_\_ DAY OF \_\_\_\_\_,  
20\_\_\_\_.

\_\_\_\_\_  
**PATENT ATTORNEY**

FILED ELECTRONICALLY ON DECEMBER 5, 2006

Sir:

This is intended to supplement the first preliminary amendment filed September 18, 2006, filed with the Request for Continued Examination (RCE). Please amend the above-identified application as follows.